

Statement for self-certified sophisticated investor (Financial Promotions)

Name:	
Company:	
Address:	
Tel:	
Mobile:	
Email:	
Web site:	

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001. I understand that this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- (b) The content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- (c) By signing this statement I may lose significant rights;
- (d) I may have no right to complain to either of the following:-
 - (i) the Financial Services Authority; or
 - (ii) the Financial Ombudsman Scheme;
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:-

- (a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- (b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- (c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- (d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I understand that the information I have provided will be stored on a database and will be used by UMIP to ensure that appropriate companies seeking investment can be brought to my attention.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Signature:

Date:

Finally: To help us with our marketing strategy, could you tell us how you heard about UMIP?

Please fax this back to +44 (0) 161 306 8516